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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN JOSE DIVISION

CARLOS RODRIGUEZ

Plaintiff,

v.

COUNTY OF MONTEREY; CITY OF
SALINAS; TWENTY UNKNOWN CITY
AND COUNTY OFFICERS AND OTHER
EMPLOYEES,

Defendants.

CASE NO. C09-02454-PVT

**STIPULATION AND
PROTECTIVE ORDER**

The parties, by and through their respective attorneys of record, hereby stipulate to the following protective order being issued in this matter.

1. PURPOSES AND LIMITATIONS

Disclosure and discovery activity in this action are likely to involve production of confidential, proprietary, or private information for which special protection from public disclosure and from use for any other purpose other than prosecuting this litigation would be warranted. Accordingly, the parties hereby stipulate to and petition the court to enter the following Stipulated Protective Order. The parties acknowledge that this Order does not confer blanket protections on all disclosures or responses to discovery and that the protection it affords extends only to the limited information or items that are entitled under the applicable legal principles to treatment as confidential. The parties further acknowledge, as set forth in Section

10, below, that this Stipulated Protective Order creates no entitlement to file confidential information under seal; Civil Local Rule 79-5 sets forth the procedures that must be followed and reflects the standards that will be applied when a party seeks permission from the court to file material under seal.

2. DEFINITIONS

2.1. Party: any party to this action, including all of its officers, directors, employees, consultants, retained experts, and outside counsel (and their support staff).

2.2. Disclosure or Discovery Material: all items or information, regardless of the medium or manner generated, stored or maintained (including, among other things, testimony, transcripts, or tangible things) that are produced or generated in disclosures or responses to discovery by any Party in this matter.

2.3. “Confidential” Information or Items: Information (regardless of how generated, stored or maintained) or tangible things that qualify for protection under standards developed under F.R.Civ.P. 26(c). This determination must be made in accordance with Section 5.1.

2.4. “Highly Confidential – Attorneys’ Eyes Only” Information or Items: Extremely sensitive “Confidential Information or Items” whose disclosure to another Party or non-party would create a substantial risk of serious injury that could not be avoided by less restrictive means. This determination must be made in accordance with Section 5.1.

2.5. Receiving Party: a Party that receives Disclosure or Discovery Material from a Producing Party.

2.6. Producing Party: a Party or non-party that produces Disclosure or Discovery Material in this action.

2.7. Designating Party: a Party or non-party that designates information or items that is produced in disclosures or in responses to discovery as “Confidential” or “Highly Confidential – Attorneys’ Eyes Only.”

2.8. Protected Material: any Disclosure or Discovery Material that is designated as “Confidential” or “Highly Confidential – Attorneys’ Eyes Only.”

1 2.9. Outside Counsel: attorneys who are not employees of a Party but who are
2 retained to represent or advise a Party in this action.

3 2.10. House Counsel: attorneys who are employees of a Party.

4 2.11. Counsel (without qualifier): Outside Counsel and House Counsel (as well
5 as their support staffs.)

6 2.12. Expert: a person with specialized knowledge or experience in a matter
7 pertinent to the litigation who has been retained by a Party or its counsel to serve as an expert
8 witness or as a consultant in this action and who is not a past or a current employee of a Party or
9 of a competitor of a Party and who, at the time of retention, is not anticipated to become an
10 employee of a Party or a competitor of a Party.

11 2.13. Professional Vendors: persons or entities that provide litigation support
12 services (e.g. photocopying; videotaping; translating; preparing exhibits or demonstrations;
13 organizing, storing, retrieving data in any form or medium; etc.) and their employees and
14 subcontractors.

15 3. SCOPE

16 The protections conferred by this Stipulation and Order cover not only Protected Material
17 (as defined above), but also any information copied or extracted therefrom, as well as all copies,
18 excerpts, summaries, or compilations thereof, plus testimony, conversations, or presentations by
19 parties or counsel to or in court or in other setting that might reveal Protected Material.

20 4. DURATION

21 Even after the termination of this litigation, the confidentiality obligations imposed by
22 this Order shall remain in effect until a Designating Party agrees otherwise in writing or a court
23 order otherwise directs.

24 5. DESIGNATING PROTECTED MATERIAL

25 5.1 Exercise of Restraint and Care in Designating Material for Protection. Each Party
26 or non-party that designates information or items for protection under this Order must take care
27 to limit any such designation to specific material that qualifies under the appropriate standards.
28 A Designating Party must take care to designate for protection only those parts of material,

documents, items, or oral or written communications that qualify – so that other portions of the material, documents, items or communications for which protection is not warranted are not swept unjustifiably within the ambit of this Order. Mass, indiscriminate, or routine designations are prohibited. Designations that are shown to be clearly unjustified, or that have been made for an improper purpose (e.g., to unnecessarily encumber or retard the case development process, or to impose unnecessary expenses and burdens on other parties), expose the Designating Party to sanctions.

If it comes to a Party's or a non-party's attention that information or items that it designated for protection do not qualify for protection at all, or do not qualify for the level of protection initially asserted, the Party or non-party must promptly notify all other parties that it is withdrawing the mistaken designation.

5.2 Manner and Timing of Designations. Except as otherwise provided in this Order (e.g., second paragraph of section 5.2(a) above, below), or as otherwise stipulated or ordered, material that qualifies for protection under this Order must be clearly so designated before the material is disclosed or produced. Designation in conformity with this Order requires:

(a) for information in documentary form (apart from transcripts of depositions or other pretrial or trial proceedings), that the Producing Party affix the legend "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" at the top of each page that contains protected material. If only a portion or portions of the material on a page qualifies for protection, the Producing Party also must clearly identify the protected portion(s) (e.g., by making appropriate markings in the margins) and must specify, for each portion, the level of protection being asserted (either "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY").

A Party or non-party that makes original documents or materials available for inspection need to designate them for protection until after the inspecting Party has indicated which material it would like copied and produced. During the inspection and before designation, all of the material made available for inspection shall be deemed "CONFIDENTIAL" or

1 "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY." After the inspecting Party has
 2 identified the documents it wants copied and produced, the Producing Party must determine
 3 which documents, or portions thereof, qualify for protection under this Order, then, before
 4 producing the specified documents, the Producing Party must affix the appropriate legend
 5 ("CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY") at the
 6 top of each page that contains Protected Material. If only a portion or portions of the material on
 7 a page qualifies for protection, the Producing Party must clearly identify the protected portion(s)
 8 (e.g., by making appropriate markings in the margins) and must specify, for each portion, the
 9 level of protection being asserted (either "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL –
 10 ATTORNEYS' EYES ONLY".)

11 (b) for testimony given in deposition or in other pretrial or trial proceedings,
 12 that the Party or non-party offering or sponsoring the testimony identify on the record, before the
 13 close of the deposition, hearing, or other proceeding, all protected testimony, and further specify
 14 any portions of the testimony that qualify as "CONFIDENTIAL" or "HIGHLY
 15 CONFIDENTIAL – ATTORNEYS' EYES ONLY." When it is impractical to identify
 16 separately each portion of testimony that is entitled to protection, and when it appears that
 17 substantial portions of the testimony may qualify for protection, the Party or non-party that
 18 sponsors, offers, or gives the testimony may invoke on the record (before the deposition or
 19 proceeding is concluded) a right to have up to twenty (20) days to identify the specific portions
 20 of the testimony as to which protection is sought and to specify the level of protection being
 21 asserted ("CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES
 22 ONLY".) Only those portions of the testimony that are appropriately designated for protection
 23 within the 20 days shall be covered by the provisions of this Stipulated Protective Order.

24 Transcript pages containing Protected Material must be separately bound by the
 25 court reporter, who must affix to the top of each such page the legend "CONFIDENTIAL" or
 26 "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY", as instructed by the Party or
 27 non-party offering or sponsoring the witness or presenting the testimony.
 28

(c) for information produced in some form other than documentary, and for any other tangible item, that the Producing Party affix in a prominent place on the exterior of the container or containers in which the information or item is stored the legend “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY.” If only portions of the information or item warrant protection, the Producing Party, to the extent practicable, shall identify the protected portions, specifying whether they qualify as “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY.”

5.3 Inadvertent Failures to Designate. If timely corrected, an inadvertent failure to designate qualified information or items as “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” does not, standing alone, waive the Designating Party’s right to secure protection under this Order for such material. If material is appropriately designated “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” after the material was initially produced, the Receiving Party, on timely notification of the designation, must make reasonable efforts to assure that the material is treated in accordance with this Order.

6. CHALLENGING CONFIDENTIALITY DESIGNATIONS

6.1 Timing of Challenges. Unless a prompt challenge to a Designating Party’s confidentiality designation is necessary to avoid foreseeable substantial unfairness, unnecessary economic burdens, or a later significant disruption or delay of the litigation, a Party does not waive its right to challenge a confidentiality designation by electing not to mount a challenge promptly after the original designation is closed.

6.2 Meet and Confer. A Party that elects to initiate a challenge to a Designating Party’s confidentiality designation must do so in good faith and must begin the process by conferring directly (in voice-to-voice dialogue; other forms of communication are not sufficient) with counsel for the Designating Party. In conferring, the challenging Party must explain the basis for its belief that the confidentiality designation was not proper and must give the Designating Party an opportunity to review the designated material, to reconsider the circumstances, and, if no change in designation is offered, to explain the basis for the chosen

1 designation. A challenging Party may proceed to the next stage of the challenge process only if
 2 it has engaged in this meet and confer process first.

3 6.3 Judicial Intervention. A Party that elects to press a challenge to a confidentiality
 4 designation after considering the justification offered by the Designating Party may file and
 5 serve a motion under Civil Local Rule 7 (and in compliance with Civil Local Rule 79-5, if
 6 applicable) that identifies the challenged material and sets forth in detail the basis for the
 7 challenge. Each such motion must be accompanied by a competent declaration that affirms that
 8 the movant has complied with the meet and confer requirements imposed in the preceding
 9 paragraph and that sets forth with specificity the justification for the confidentiality designation
 10 that was given by the Designating party in the meet and confer dialogue.

11 The burden of persuasion in any such challenge proceeding shall be on the
 12 Designating Party. Until the court rules on the challenge, all parties shall continue to afford the
 13 material in question the level of protection to which it is entitled under the Producing Party's
 14 designation.

15 7. ACCESS TO AND USE OF PROTECTED MATERIAL

16 7.1 Basic Principles. A Receiving Party may use Protected Material that is disclosed
 17 or produced by another Party or by a non-party in connection with this case only for prosecuting,
 18 defending, or attempting to settle this litigation. Such Protected Material may be disclosed only
 19 to the categories of persons under the conditions described in this Order. When the litigation has
 20 been terminated, a Receiving Party must comply with the provisions of section 11, below
 21 (FINAL DISPOSITION.)

22 Protected Material must be stored and maintained by a Receiving Party at a
 23 location and in a secure manner that endures that access is limited to the persons authorized
 24 under this Order.

25 7.2 Disclosure of "CONFIDENTIAL" Information or Items. Unless otherwise
 26 ordered by the court or permitted in writing by the Designating Party, a Receiving Party may
 27 disclose any information or item designated CONFIDENTIAL only to:
 28

1 (a) the Receiving Party's Outside Counsel of record in this action, as well as
 2 employees of said Counsel to whom it is reasonably necessary to disclose the information for
 3 this litigation;

4 (b) the officers, directors, and employees (including House Counsel) of the
 5 Receiving Party to whom disclosure is reasonably necessary for this litigation and who have
 6 signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A);

7 (c) experts (as defined in this Order) of the Receiving Party to whom
 8 disclosure is reasonably necessary for this litigation and who have signed the "Acknowledgment
 9 and Agreement to Be Bound" (Exhibit A);

10 (d) the Court and its personnel;

11 (e) court reporters, their staffs, and professional vendors to whom disclosure
 12 is reasonably necessary for this litigation;

13 (f) the jury or other trier of fact if the information is otherwise admissible as
 14 evidence;

15 (g) during their depositions, witnesses in the action to whom disclosure is
 16 reasonably necessary. Pages of transcribed deposition testimony or exhibits to depositions that
 17 reveal Protected Material must be separately bound by the court reporter and may not be
 18 disclosed to anyone except as permitted under this Stipulated Protective Order.

19 (h) the author of the document of the original source of the information.

20 7.3 Disclosure of HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY
 21 Information or Items. Unless otherwise ordered by the court or permitted in writing the
 22 Designating Party, a Receiving Party may disclose any information or item designated 'HIGHLY
 23 CONFIDENTIAL – ATTORNEYS' EYES ONLY' only to:

24 (a) the Receiving Party's Outside Counsel of Record in this action, as well as
 25 employees of said Counsel to whom it is reasonably necessary to disclose the information for
 26 this litigation;

(b) House Counsel of a Receiving Party (1) to whom disclosure is reasonably necessary for this litigation, and (2) who has signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A);

(c) Experts (as defined in the Order) (1) to whom disclosure is reasonably necessary for this litigation, and (2) who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A);

(d) the Court and its personnel;

(e) court reporters, their staffs, and professional vendors to whom disclosure is reasonably necessary for this litigation;

(f) during their depositions, witnesses in the action to whom disclosure is reasonably necessary. Pages of transcribed deposition testimony or exhibits to depositions that reveal Protected Material must be separately bound by the court reporter and may not be disclosed to anyone except as permitted under this Stipulated Protective Order. In the event the parties cannot agree upon whether disclosure is “reasonably necessary” said parties shall meet and confer on the matter and if there is no resolution may seek relief from the Court;

(g) the author of the document of the original source of the information.

8. PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN OTHER LITIGATION

If a Receiving Party is served with a subpoena or an order issued in other litigation that would compel disclosure of any information or items designated in this action as “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY,” the Receiving Party must so notify the Designating Party, in writing (by fax, if possible) immediately and in no event more than three court days after receiving the subpoena or order. Such notification must include a copy of the subpoena or court order.

The Receiving Party also must immediately inform in writing the Party who caused the subpoena or order to issue in the other litigation that some or all of the material covered by the subpoena or order is the subject of this Protective Order. In addition, the Receiving Party must

1 deliver a copy of this Stipulated Protective Order promptly to the Party in the other action that
2 caused the subpoena or order to issue.

3 The purpose of imposing these duties is to alert the interested parties to the existence of
4 this Protective Order and to afford the Designating Party in this case an opportunity to try to
5 protect its confidentiality interests in the court from which the subpoena or order issued. The
6 Designating Party shall bear the burdens and the expenses of seeking protection in the court of its
7 confidential material – and nothing in these provisions should be construed as authorizing or
8 encouraging a Receiving Party in this action to disobey a lawful directive from another court.

9 **9. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL**

10 If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed Protected
11 Material to any person or in any circumstance not authorized under this Stipulated Protective
12 Order, the Receiving Party must immediately (a) notify in writing the Designating Party of the
13 unauthorized disclosures, (b) use its best efforts to retrieve all copies of the Protected Material,
14 (c) inform the person or persons to whom unauthorized disclosures were made of all the terms of
15 this Order, and (d) request such person or persons to execute the “Acknowledgment and
16 Agreement to Be Bound” that is attached hereto as Exhibit A.

17 **10. FILING PROTECTED MATERIAL**

18 Without written permission from the Designating Party or a court order secured after
19 appropriate notice to all interested persons, a Party may not file in the public record in this action
20 any Protected Material. A Party that seeks to file under seal any Protected Material must comply
21 with Civil Local Rule 79-5.

22 **11. FINAL DISPOSITION**

23 Unless otherwise ordered or agreed in writing by the Producing Party, within sixty (6)
24 days after the final termination of this action, defines as the dismissal or entry of judgment by the
25 district court, or if an appeal is filed, the disposition of the appeal, each Receiving Party must
26 return all protected Material to the Producing Party. As used in this subdivision, “all Protected
27 Material” includes all copies, abstracts, compilations, summaries or any other form of
28 reproducing or capturing any of the Protected Material. With permission in writing from the

Designating Party, the Receiving Party may destroy some or all of the Protected Material instead of returning it. Whether the Protected Material is returned or destroyed, the Receiving Party must submit a written certification to the Producing Party (and, if not the same person or entity, to the Designating Party) by the sixty day deadline that identifies (by category, where appropriate) all the Protected Material that was returned or destroyed and that affirms that the Receiving Party has not retained any copies, abstracts, compilations, summaries or other forms of reproducing or capturing any of the Protected Material. Notwithstanding this provision, Counsel are entitled to retain an archival copy of all pleadings, motion papers, transcripts, legal memoranda, correspondence or attorney work product, even if such materials contain Protected Material. Any such archival copies that contain or constitute Protected Material remain subject to this Protective Order as set forth in Section 4 (DURATION), above.

12. MISCELLANEOUS

12.1 Right to Further Relief. Nothing in this Order abridges the right of any person to seek its modification by the Court in the future.

12.2 Right to Assert Other Objections. By stipulating to the entry of this Protective Order no Party waives any right it otherwise would have to object to disclosing or producing any information or item on any ground not addressed in this Stipulated Protective Order. Similarly, no Party waives any right to object on any ground to use in evidence any of the material covered by this Protective Order.

IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD

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Dated: 01.14.2010

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By: /s/
GEORGINA B. MENDOZA
Deputy City Attorney
Attorneys for Defendant
CITY OF SALINAS

Dated: 01.14.2010

By: /s/
KATE WELLS
Attorney for Plaintiff
CARLOS RODRIGUEZ

Dated: 01.14.2010

By: /s/
AARON LODGE
Attorney for Plaintiff
CARLOS RODRIGUEZ

1 **PURSUANT TO STIPULATION, IT IS SO ORDERED**

2 DATED: _ May 11, 2010



3]XXXXXXXXXXXXXXXXXXXX Patricia V. Trumbull
4 United States IXXXXXXXXXXXXXXXXXXXX

EXHIBIT A
ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND

I, _____ [print or type full name] of _____ [print or type full address], declare under penalty of perjury that I have read in its entirety and understood the Stipulated Protective Order that was issued by the United States District Court for the Northern District of California on _____ [date] in the case of Rodriguez v. City of Salinas, et al., Case No. 09-02454-JF. I agree to comply with and to be bound by all the terms of this Stipulated Protective Order and I understand and acknowledge that failure to so comply could expose me to sanctions and punishment in the nature of contempt. I solemnly promise that I will not disclose in any manner any information or item that is subject to this Stipulated Protective Order to any person or entity except in strict compliance with the provisions of this Order.

I further agree to submit to the jurisdiction of the United States District Court for the Northern District of California for the purpose of enforcing the terms of this Stipulated Protective Order, even if such enforcement proceedings occur after termination of this action.

I hereby appoint _____ [print or type full name] of _____ [print or type full address and telephone number] as my California agent for service or process in connection with this action or any proceedings related to enforcement of this Stipulated Protective Order.

Date: _____

City and State where sworn and signed:

Print Name: _____

Signature: _____